

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Application of Richard Jude Samulski, et al.

Group Art Unit: To be assigned

Serial No: 08/475,470

Examiner: To be assigned

Filed: June 7, 1995

Atty. Docket No.: 115132-4

For: ADENO-ASSOCIATED VIRUS VECTOR AND CIS-ACTING  
REGULATORY AND PROMOTER ELEMENTS CAPABLE  
OF EXPRESSING AT LEAST ONE GENE AND  
METHOD OF USING SAME FOR GENE THERAPY

DECLARATION UNDER 37 CFR § 1.821(f)

Assistant Commissioner for Patents  
Washington, DC 20231  
Attn: Box SEQUENCE

CERTIFICATE UNDER 37 CFR 1.8(a)  
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
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ADDRESSED TO ASSISTANT COMMISSIONER OF PATENTS,  
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ON JUNE 3, 1996  
J. Mark Hand  
REGISTRATION NO. 36,545  
DATE JUNE 3, 1996

Sir:

I hereby state that the content of the paper (filed December 15, 1995) and computer  
(submitted herewith) readable copies of the Sequence Listing, submitted in accordance with  
37 C.F.R 1.821(c) and (e), respectively, are the same.

Respectfully submitted,

Date: JUNE 3, 1996

J. Mark Hand  
J. Mark Hand

Registration No. 36,545  
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08/475, 470

115133-4

McAfee VirusScan for Windows v2.2.12 Copyright 1994-1996, McAfee, Inc.

06/03/96 11:22:51

Messages:

Welcome to McAfee VirusScan!

Loading configuration file C:\NETSCAPE\MACAFEE\WSCAN.INI...

(408) 988-3832 EVALUATION COPY

Virus data file V9605 created 05/23/96 7:33:40

Scanning A: []

Summary report on A:

File(s)

Analyzed: ..... 1

Scanned: ..... 0

Possibly Infected: ..... 0

Master Boot Record(s):..... 1

Possibly Infected:..... 0

Boot Sector(s):..... 1

Possibly Infected:..... 0

Time: 00:00.00

Scanning A: []

Summary report on A:

File(s)

Analyzed: ..... 1

Scanned: ..... 0

Possibly Infected: ..... 0

Master Boot Record(s):..... 1

Possibly Infected:..... 0

Boot Sector(s):..... 1

Possibly Infected:..... 0

Time: 00:00.00

Scanning A: []

Summary report on A:

File(s)

Analyzed: ..... 1

Scanned: ..... 0

Possibly Infected: ..... 0

Master Boot Record(s):..... 1

Possibly Infected:..... 0

Boot Sector(s):..... 1

Possibly Infected:..... 0

Time: 00:00.00

FILE DISKETTE FROM 12/15/95 FILING

DISKETTE FILED 6/3/96

FILE DISKETTE FROM 6/3/96 FILING



Application No.: 08/475470

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☒ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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